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(54) Title: **DNA SEQUENCING METHOD**

(57) Abstract: A method for determining the sequence of a polynucleotide, the method relying on the detection of a conformational change in an enzyme that interacts with and processes along the polynucleotide. The detection of a conformational change may be carried out by measuring changes in a fluorophore bound to the enzyme.

**WO 01/25480 A2**

## DNA SEQUENCING METHOD

Field of the Invention

This invention relates to polynucleotide sequence determinations.

5 Background of the invention

The ability to determine the sequence of a polynucleotide is of great scientific importance. For example, the Human Genome Project is an ambitious international effort to map and sequence the three billion  
10 bases of DNA encoded in the human genome. When complete, the resulting sequence database will be a tool of unparalleled power for biomedical research. The major obstacle to the successful completion of this project concerns the technology used in the sequencing process.

15 The principle method in general use for large-scale DNA sequencing is the chain termination method. This method was first developed by Sanger and Coulson (Sanger et al., Proc. Natl. Acad. Sci. USA, 1977; 74: 5463-5467), and relies on the use of dideoxy derivatives of the four  
20 nucleoside triphosphates which are incorporated into a nascent polynucleotide chain in a polymerase reaction. Upon incorporation, the dideoxy derivatives terminate the polymerase reaction and the products are then separated by gel electrophoresis and analysed to reveal the position at  
25 which the particular dideoxy derivative was incorporated into the chain.

Although this method is widely used and produces reliable results, it is recognised that it is slow, labour-intensive and expensive.

30 An alternative sequencing method is proposed in EP-A-0471732, which uses spectroscopic means to detect the incorporation of a nucleotide into a nascent polynucleotide strand complementary to a target. The method relies on an immobilised complex of template and primer, which is  
35 exposed to a flow containing only one of the different nucleotides. Spectroscopic techniques are then used to measure a time-dependent signal arising from the polymerase

catalysed growth of the template copy. The spectroscopic techniques described are surface plasmon resonance (SPR) spectroscopy, which measures changes in an analyte within an evanescent wave field, and fluorescence measuring techniques. However, limitations of this method are recognised; the most serious for the SPR technique being that, as the size of the copy strand grows, the absolute size of the signal also grows due to the movement of the strand out of the evanescent wave field, making it harder to detect increments. The fluorescence measuring techniques have the disadvantage of increasing background interference from the fluorophores incorporated on the growing nascent polynucleotide chain. As the chain grows, the background "noise" increases and the time required to detect each nucleotide incorporation needs to be increased. This severely restricts the use of the method for sequencing large polynucleotides.

Single fragment polynucleotide sequencing approaches are outlined in WO-A-9924797 and WO-A-9833939, both of which employ fluorescent detection of single labelled nucleotide molecules. These single nucleotides are cleaved from the template polynucleotide, held in a flow by an optical trap (Jett, et al., J. Biomol. Struct. Dyn, 1989; 7:301-309), by the action of an exonuclease molecule. These cleaved nucleotides then flow downstream within a quartz flow cell, are subjected to laser excitation and then detected by a sensitive detection system. However, limitations of this method are recognised; the most serious for the exonuclease technique being the fact that the labelled nucleotides severely affect the processivity of the exonuclease enzyme. Other limitations of this method include 'sticking' of the nucleotide(s) to the biotin bead used to immobilise the polynucleotide fragment, thus resulting in the nucleotide flow becoming out of phase; inefficiency and length limitation of the initial enzymatic labelling process; and the excitation 'cross-over' between

the four different dye molecules resulting in a greatly increased error rate.

There is therefore a need for an improved method, preferably at the single fragment level, for determining the sequence of polynucleotides, which significantly increases the rate and fragment size of the polynucleotide sequenced and which is preferably carried out by an automated process, reducing the complexity and cost associated with existing methods.

#### 10 Summary of the Invention

The present invention is based on the realisation that the sequence of a target polynucleotide can be determined by measuring conformational changes in an enzyme that binds to and processes along the target polynucleotide. The extent of the conformational change that takes place is different depending on which individual nucleotide on the target is in contact with the enzyme.

According to one aspect of the present invention, a method for determining the sequence of a polynucleotide comprises the steps of:

- (i) reacting a target polynucleotide with an enzyme that is capable of interacting with and processing along the polynucleotide, under conditions sufficient to induce the enzyme activity; and
- 25 (ii) detecting conformational changes in the enzyme as the enzyme processes along the polynucleotide.

In a preferred embodiment, the enzyme is a polymerase enzyme which interacts with the target in the process of extending a complementary strand. The enzyme is typically immobilised on a solid support to localise the reaction within a defined area.

According to a second embodiment of the invention, the enzyme comprises a first bound detectable label, the characteristics of which alter as the enzyme undergoes a conformational change. The enzyme may also comprise a second bound detectable label capable of interacting with the first label, wherein the degree of interaction is

dependent on a conformational change in the enzyme. Typically, the first label is an energy acceptor and the second label is an energy donor, and detecting the conformational change is carried out by measuring energy transfer between the two labels.

According to a further embodiment of the invention, fluorescence resonance energy transfer (FRET) is used to detect a conformational change in an enzyme that interacts with and processes along a target polymerase, thereby determining the sequence of the polynucleotide. Fluorescence resonance energy transfer may be carried out between FRET donor and acceptor labels, each bound to the enzyme. Alternatively, one of the labels may be bound to the enzyme and the other label bound to the polynucleotide.

According to a further embodiment, there is the use of a detectably-labelled enzyme, capable of interacting with and precessing along a target polynucleotide, to determine the sequence of the polynucleotide, wherein the label alters its detectable characteristics as the enzyme processes along the polynucleotide.

According to a further aspect, a solid support comprises at least one immobilised enzyme capable of interacting with and precessing along a target polynucleotide, the enzyme being labelled with one or more detectable labels.

According to a further aspect, a system for determining the sequence of a polynucleotide comprises a solid support as defined above, and an apparatus for detecting the label.

The present invention offers several advantages over conventional sequencing technology. Once a polymerase enzyme begins its round of polynucleotide elongation, it tends to polymerase several thousand nucleotides before falling off from the strand. Additionally, certain specific polymerase systems are able to anchor or tether themselves to the template polynucleotide via a 'sliding clamp' (e.g. Polymerase III) which encircles the template

molecule or via a molecular hook (e.g. T7:thioredoxin complex) which partially encircles the template.

The invention may also enable tens of kilobases (kb) or more to be sequenced in one go, at a rate of hundreds of base pairs per second. This is a result of sequencing on a single fragment of DNA. An advantage of sequencing a single fragment of DNA is that sequencing rates are determined by the enzyme system utilised and not upon indirect, summated reactions, and are therefore correspondingly higher. Just as important as the high rate is the ability to sequence large fragments of DNA. This will significantly reduce the amount of subcloning and the number of overlapping sequences required to assemble megabase segments of sequencing information. An additional advantage of the single fragment approach is the elimination of problems associated with the disposal of hazardous wastes, such as acrylamide, which plague current sequencing efforts.

#### Description of the Drawings

Figure 1 is a schematic illustration of a confocal microscope setup for use in the invention;

Figure 2 illustrates a trace taken after fluorescence resonance energy transfer, with each of the peaks representing the detection of a specific nucleotide.

#### Description of the Invention

The present method for sequencing a polynucleotide involves the analysis of conformational changes between an enzyme and a target polynucleotide.

The term "polynucleotide" as used herein is to be interpreted broadly, and includes DNA and RNA, including modified DNA and RNA, as well as other hybridising nucleic acid-like molecules, e.g. peptide nucleic acid (PNA).

The enzyme may be a polymerase enzyme, and a conformational change is brought about when the polymerase incorporates a nucleotide into a nascent strand complementary to the target polynucleotide. It has been found that the conformational change will be different for

each of the different nucleotides, A, T, G or C and therefore measuring the change will identify which nucleotide is incorporated.

Alternatively, the enzyme may be any that is involved  
5 in an interaction with a polynucleotide, e.g. a helicase enzyme, primase and holoenzyme. As the enzyme processes along the polynucleotide, its conformation will change depending on which nucleotide on the target it is brought into contact with.

10 One way of detecting a conformational change in the enzyme is to measure resonance energy transfer between a suitable energy donor label and a suitable energy acceptor label. In one example the donor and acceptor are each bound to the enzyme and the conformational change in the  
15 enzyme brought about by its interaction with the target polynucleotide alters the relative positioning of the labels. The differences in positioning are reflected in the resulting energy transfer and are characteristic of the particular nucleotide in contact with the enzyme.  
20 Alternatively, one label may be positioned on the enzyme and the other on a nucleotide of the target or on a nucleotide incorporated onto a strand complementary to the target.

The use of fluorescence resonance energy transfer  
25 (FRET) is a preferred embodiment of the invention. This technique is capable of measuring distances on the 2- to 8nm scale and relies on the distance-dependent energy transfer between a donor fluorophore and an acceptor fluorophore. The technique not only has superior static  
30 co-localization capabilities but can also provide information on dynamic changes in the distance or orientation between the two fluorophores for intramolecular and intermolecular FRET. Since the first measurement of energy transfer between a single donor and a single  
35 acceptor (single pair FRET) (Ha, et al., Proc. Natl. Acad. Sci. USA, 1996; 96:893), it has been used to study ligand-receptor co-localisation (Schutz, et al., Biophys. J.,

1998; 74:2223), to probe equilibrium protein structural fluctuations and enzyme-substrate interactions during catalysis (Ha, et al., 1999 Supra), and to identify conformational states and sub-populations of individual  
5 diffusing molecules in solutions. All of these variables are envisioned as applicable within the context of the invention.

The present invention may also be carried out using measurement techniques that require only a single label.

10 Any system that is capable of measuring changes in the local environment of the enzyme at the single molecule level, is an accepted embodiment of the invention. Various properties of single fluorescent probes attached to a polynucleotide processive enzyme and/or its substrate(s)  
15 can be exploited in the context of the invention to provide data on variables within or in close proximity to the enzyme system/molecular environment that are specific to a nucleotide incorporation event. Such variables include, but are not limited to, molecular interactions, enzymatic  
20 activity, reaction kinetics, conformational dynamics, molecular freedom of motion, and alterations in activity and in chemical and electrostatic environment.

For example, the absorption and emission transition dipoles of single fluorophores can be determined by using  
25 polarized excitation light or by analysing the emission polarisation, or both. The temporal variation in dipole orientation of a rigidly attached or rotationally diffusing tethered label can report on the angular motion of a macromolecule system or one of its subunits (Warshaw, et  
30 al., Proc. Natl. Acad. Sci. USA, 1998; 95:8034) and therefore may be applied in the present invention.

The labels that may be used in the present invention will be apparent to those skilled in the art. Preferably, the label is a fluorescence label, such as those disclosed  
35 in Xue, et al., Nature, 1995; 373:681. Alternatively, fluorescing enzymes such as green fluorescent protein (Lu, et al., Science, 1998; 282:1877) can be employed.



The preferred embodiment of the invention, however, involves the use of small fluorescence molecules that are covalently and site-specifically attached to the polynucleotide processive enzyme, e.g. tetramethylrhodamine (TMR).

If fluorescent labels are used in the invention, their detection may be affected by photobleaching caused by repeated exposure to excitation wavelengths. One possible way to avoid this problem is to carry out many sequential reactions, but detecting fluorescence signals on only a few at a time. Using this iterative process, the correct sequence of signals can be determined and the polynucleotide sequence determined. For example, by immobilising a plurality of enzymes on a solid support and contacting them with the target polynucleotide, the sequencing reactions should start at approximately the same time. Excitation and detection of fluorescence can be localised to a proportion of the total reactions, for a time until photobleaching becomes evident. At this time, excitation and detection can be transferred to a different proportion of the reactions to continue the sequencing. As all the reactions are relatively in phase, the correct sequence should be obtained with minimal sequence re-assembly.

The labels may be attached to the enzymes by covalent or other linkages. A number of strategies may be used to attach the labels to the enzyme. Strategies include the use of site-directed mutagenesis and unnatural amino acid mutagenesis (Anthony-Cahil, et al., Trends Biochem. Sci., 1989; 14:400) to introduce cysteine and ketone handles for specific and orthogonal dye labelling proteins (Cornish, et al., Proc. Natl. Acad. Sci. USA, 1994; 91:2910).

Another foreseen embodiment used to tag the polynucleotide processive enzyme is the fusion of green fluorescent protein (GFP) to the processive enzyme (e.g. polymerase) via molecular cloning techniques known in the art (Pierce, D.W. et al., Nature, 1997; 388:338). This

technique has been demonstrated to be applicable to the measurement of conformational changes (Miyawaki, et al., Nature, 1997; 388:882) and local pH changes (Llopis, et al., Proc. Natl. Acad. Sci. USA, 1998; 95:6803).

5        Supports suitable for use in immobilising the enzymes, will be apparent to the skilled person. Silicon, glass and ceramics materials may be used. The support will usually be a planar surface. Enzyme immobilisation may be carried out by covalent or other means. For example, covalent  
10 linker molecules may be used to attach to a suitably prepared enzyme. Attachment methods are known to the skilled person.

There may be one or more enzymes immobilised to the solid support. In a preferred embodiment, there are a  
15 plurality of enzymes attached. This allows monitoring of many separate reactions, and may be useful to overcome photobleaching problems as outlined above.

A variety of techniques may be used to measure a conformational change in the enzyme. Resonance energy  
20 transfer may be measured by the techniques of surface plasmon resonance (SPR) or fluorescent surface plasmon resonance.

However, other techniques which measure changes in radiation via interaction with a 'label' or energy  
25 transducer may be considered, for example spectroscopy by total internal reflectance fluorescence (TIRF), attenuated total reflection (ATR), frustrated total reflection (FTR), Brewster angle reflectometry, scattered total internal reflection (STIR), fluorescence lifetime imaging microscopy  
30 and spectroscopy (FLIMS), fluorescence polarisation anisotropy (FPA), fluorescence spectroscopy, or evanescent wave ellipsometry.

The invention will now be illustrated further by the following Example, with reference to the accompanying  
35 drawings.

### Example

This Example used a confocal fluorescence setup, as shown in Fig. 1.

With reference to Fig. 1, the setup consists of a scan  
5 table (1) able to scan at high resolution in X, Y and Z  
dimensions, a glass coverslip (2) which is part of a  
microfluidic flow cell system with an inlet (8) for  
introducing the primer-template polynucleotide complex (4)  
and nucleotides over the immobilised (9) polymerase  
10 molecule (3) within a buffer, and an outlet (7) for waste.  
Incident light from a laser light source (6) for donor  
excitation is delivered via an oil-immersion objective (5).

### Protein Conjugation

In this experiment, Tetramethylrhodamine (TMR, donor)  
15 and Cy5 (acceptor) were used as the FRET pair. This was  
due to their well separated emission wavelengths (>100nm)  
and large Förster radius.

T7 DNA Polymerase from New England Biolabs (supplied  
at 10 000 U/ml) was used. 50µl of T7 was buffer-exchanged  
20 in a Vivaspin 500 (Vivaspin) against 4 x 500µl of 200mM  
Sodium Acetate buffer at pH 4 in order to remove the DTT  
from the storage buffer that the T7 DNA Polymerase is  
supplied in. Then, 50µl of the buffer-exchanged T7 DNA  
polymerase was added to 100µl of Sodium Acetate buffer at  
25 pH 4 and 50 µl saturated 2-2-DiPyridyl-DiSulphide in  
aqueous solution. This reaction was then left for 110  
minutes and the absorption at 343nm noted. Finally, the  
sample was then buffer-exchanged into 200mM Tris at pH 8  
as before (4 times 500µl).

30 Dye attachment was verified by denaturing  
polyacrylamide gel electrophoresis. Cy5 succinimidyl ester  
(Molecular Probes) was conjugated to the TMR-T7 DNA  
Polymerase under the same labelling conditions and purified  
and characterized as described above.

### Polymerase Immobilization

35 Glass coverslips were derivatized with N-[(3-  
trimethoxysilyl)propyl] ethylenediamine triacetic acid.

The coverslip was then glued into a flow-cell arrangement that allowed buffer to be flowed continuously over the derivatized glass surface. The labelled polymerase was then added to the buffer and allowed to flow over the coverslip so that protein was immobilised on the glass surface.

Proteins were then immobilised on the glass-water interface with low density so that only one molecule was under the laser excitation volume at any one time. Laser light (514 nm Ar ion laser, 15  $\mu$ W, circularly polarized) was focused onto a 0.4  $\mu$ m spot using an oil immersion objective in an epi-illumination setup of a scanning-stage confocal microscope. The fluorescence emission was collected by the same objective and divided into two by a dichroic beam splitter (long pass at 630 nm) and detected by two Avalanche Photo Diode (APD) counting units, simultaneously.

A 585 nm band pass filter was placed in front of the donor detector; a 650 nm long pass filter was placed in front of the acceptor detector. Since the spectral ranges during fluorescence detection are sufficiently removed from the cutoff wavelength of the dichroic beam splitter, the polarization dependence of the detection efficiency of both donor and acceptor signal is negligible. It has been shown that the polarization mixing due to the high near field aperture (NA) objective can be overlooked (Ha et al, Supra).

In order to acquire donor and acceptor emission times, a search condition on the acceptor signal was employed as outlined in (Ha, et al., Appl. Phys. Lett., 1997; 70:782). This procedure aids in the screening of doubly labelled proteins: with no direct excitation of the acceptor, only proteins experiencing FRET could show acceptor signal. Once a protein was screened, located and positioned under the laser spot, donor and acceptor time traces (5 ms integration time) were acquired. The acquisition time lasted until all the fluorescent labels on the target

protein where photobleached.

#### Reaction Initiation

Two oligonucleotides were synthesised using standard phosphoramidite chemistry. The oligonucleotide defined as  
5 SEQ ID NO.1 was used as the target polynucleotide, and the oligonucleotide defined as SEQ ID No.2 was used as the primer. The two oligonucleotides were reacted under hybridizing conditions to form the target-primer complex.

10 CAAGGAGAGGACGCTGTCTGTCTCGAAGGTAAGGAACGGACGAGAGAAGGGAGAG  
SEQ ID NO.1

CTCTCCCTTCTCTCGTC SEQ ID NO.2

15 The reaction was then initiated by injecting the primed DNA into the flow cell with all four nucleotides (dGTP, dCTP, dATP and dTTP) present at a concentration of 0.4mM. The flow cell was maintained at 25 degrees Celsius by a modified peltier device.

20 An oxygen-scavenging system was also employed [50 µg/ml glucose oxidase, 10 µg/ml catalase, 18% (wt/wt) glucose, 1% (wt/vol) β-mercaptoethanol] to prolong fluorescent lifetimes (Funatsu, et al., Nature, 1995; 374:555-559).

#### 25 FRET data analysis

Initial studies have determined the origins of blinking, photobleaching and triplet state spikes (Ha, et al., Chem. Phy., 1999; 247:107-118), all of which can interfere with the underlying changes in FRET efficiency,  
30 due to distance changes between fluorophores as a result of conformational changes. After subtracting the background signal from donor and acceptor time traces as disclosed in Ha, et al., 1999 (Supra), a median filter, with five points average, was applied to remove triplet spikes. Next, data  
35 points that showed simultaneous dark counts on both detectors due to donor blinking events were disregarded from the time traces.

The amount of donor signal recovery upon acceptor photobleaching is related to the quantum yields of the molecules and their overall detection efficiencies.

5 Energy transfer efficiency time trace was then obtained. The FRET efficiency time trace during polymerization of target strand SEQ ID NO.1 shown in figure 2. Reading Fig. 2, the sequence corresponds to the complement of that of SEQ ID No. 1 (reading right to left, minus that part which hybridises to the primer sequence).

CLAIMS

1. A method for determining the sequence of a polynucleotide, comprising the steps of:
  - i. reacting a target polynucleotide with an enzyme  
5 that is capable of interacting with and precessing along the polynucleotide, under conditions sufficient to induce enzyme activity; and
  - ii. detecting conformational changes in the enzyme as the enzyme processes along the polynucleotide.
- 10 2. A method according to claim 1, wherein the enzyme is a polymerase enzyme.
3. A method according to claim 1, wherein the enzyme is a helicase enzyme or a primase enzyme.
4. A method according to any preceding claim, wherein the  
15 enzyme is immobilised on a solid support.
5. A method according to claim 4, comprising a plurality of enzymes immobilised on the solid support.
6. A method according to any preceding claim, wherein the  
20 enzyme comprises a first bound detectable label, the characteristics of which alter as the enzyme undergoes a conformational change.
7. A method according to claim 6, wherein the enzyme comprises a second bound detectable label capable of  
25 interacting with the first label, wherein the degree of interaction is dependent on a conformational change in the enzyme.
8. A method according to claim 6, wherein a second detectable label is bound to a nucleotide brought into contact with the enzyme.
- 30 9. A method according to claim 7 or claim 8, wherein the first label is an energy acceptor and the second label is an energy donor, or vice versa, and wherein step (ii) is carried out by measuring energy transfer between the two labels.
- 35 10. A method according to any preceding claim, wherein step (ii) is carried out using confocal microscopy.
11. A method according to claim 10, wherein step (ii) is

carried out by fluorescence imaging.

12. A method according to claim 6, wherein step (ii) is carried out by measuring a polarisation effect consequent on the altered characteristics of the first label.

5 13. A method according to claim 12, wherein step (ii) is carried out by fluorescence polarisation anisotropy.

14. Use of fluorescence resonance energy transfer to detect a conformational change in an enzyme that interacts with and processes along a target polymerase, to thereby  
10 determine the sequence of the polynucleotide.

15. Use according to claim 14, wherein the enzyme is a polymerase enzyme.

16. Use according to claim 14 or claim 15, wherein the enzyme is immobilised on a solid support.

15 17. Use of a detectably-labelled enzyme, capable of interacting with and precessing along a target polynucleotide, to determine the sequence of the polynucleotide, wherein the label alters its detectable characteristics as the enzyme processes along the  
20 polynucleotide.

18. A solid support comprising at least one immobilised enzyme capable of interacting with and precessing along a target polynucleotide, the enzyme being labelled with one or more detectable labels.

25 19. A solid support according to claim 18, wherein the enzyme is a polymerase.

20. A solid support according to claim 18 or claim 19, wherein the label is a fluorophore.

21. A system for determining a sequence of a  
30 polynucleotide, comprising a solid support according to any of claims 18 to 21, and an apparatus for detecting the label.



1/2

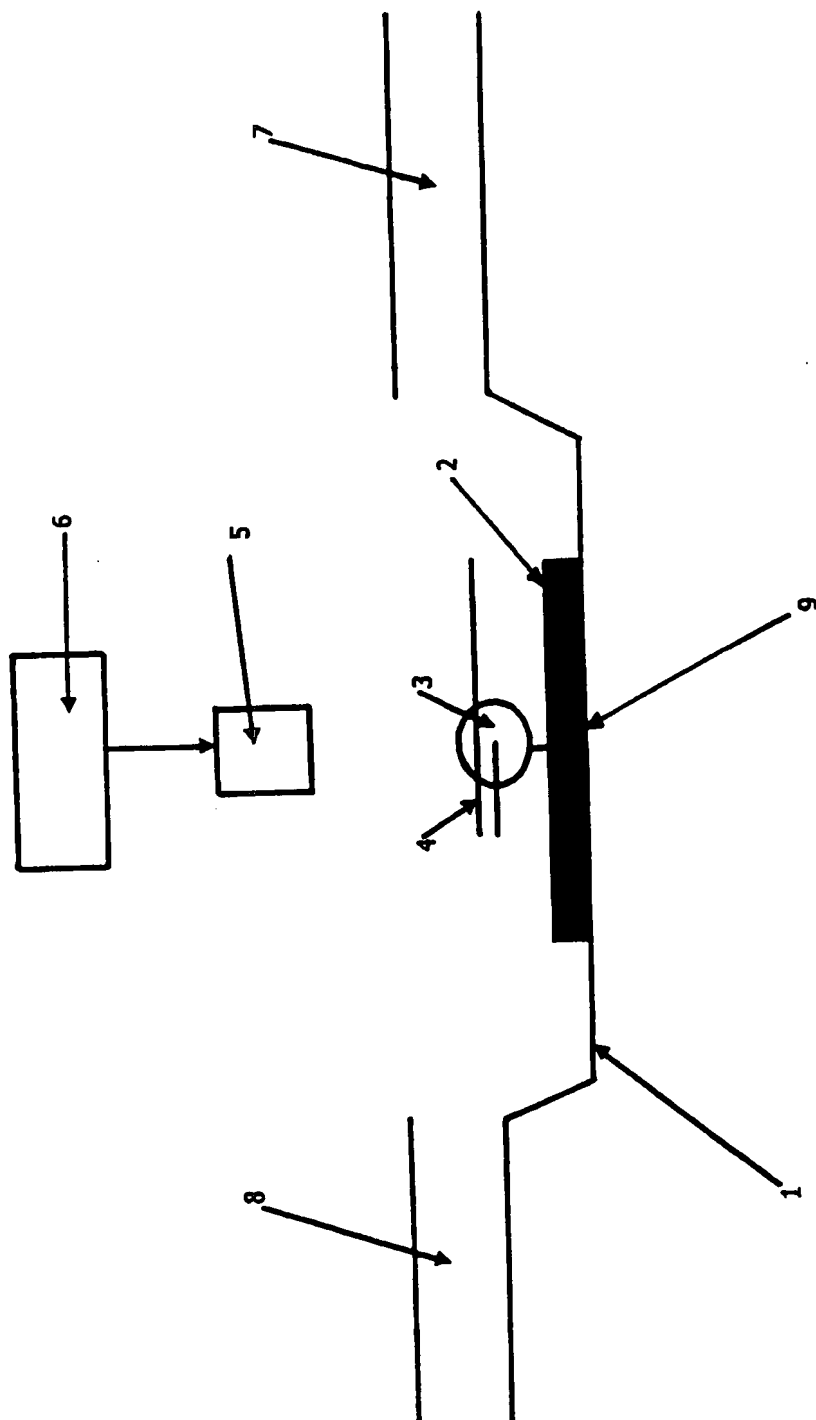


Figure 1

2/2

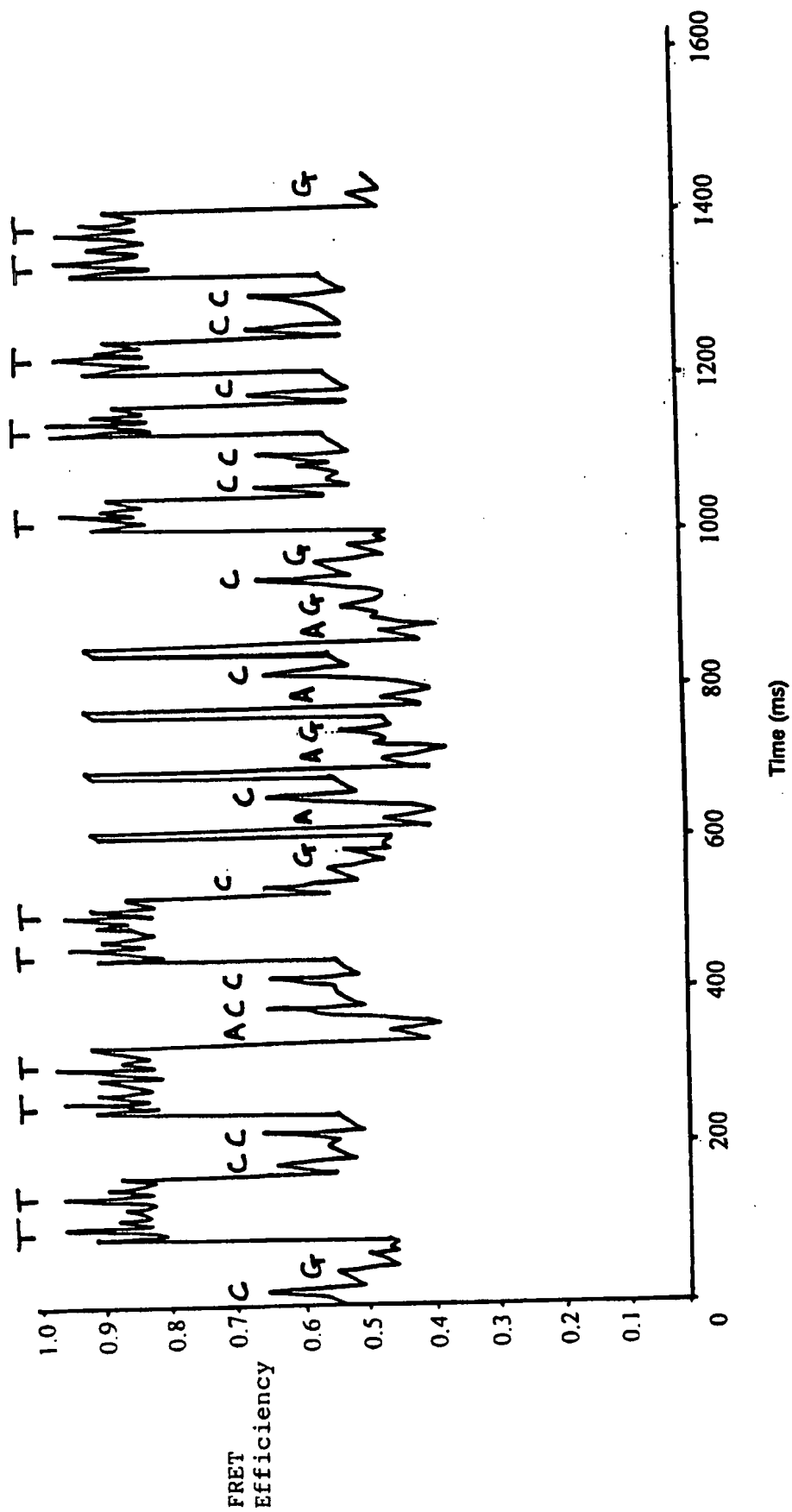


Figure 2

## SEQUENCE LISTING

<110> Medical Biosystems Ltd.

<120> DNA Sequencing Method

<130> REP06193WO

<140> (not yet known)

<141> 2000-10-06

<150> 9923644.0

<151> 1999-10-06

<160> 2

<170> PatentIn Ver. 2.1

<210> 1

<211> 53

<212> DNA

<213> Artificial Sequence

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Polynucleotide

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caaggagagg acgctgtctg tcgaaggtaa ggaacggacg agagaagggg gag

53

<210> 2

<211> 17

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence:Primer  
Polynucleotide

<400> 2

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17